



證 券 商 協 會 有 限 公 司

The Institute of Securities Dealers Ltd.

證券商協會 普通會員 / 特別會員 申請須知

1. 普通會員申請人必須從事金融服務行業；
普通會員資格： 個人須為有效證監註冊持牌人士 或
公司須為有效證監註冊持牌機構 或
服務於該等持牌機構之董事及高管(RO, MIC, OMO, REP)
2. 特別會員申請人並非從事金融服務行業及不符合普通會員資格；
3. 申請人必須交回已填寫所有項目之會員申請表格；
4. 申請人必須交回已簽署之會員證的細則及條款 (表格第二頁)；
5. 申請人必須於表格上貼上身份證副本；
6. 申請人必須於表格上貼上中文及英文名片
7. 申請入會費用 HK\$500
(除入會費外，暫毋須年費，惟本會保留修訂是項收費之權利)
支票抬頭：證券商協會有限公司 / The Institute of Securities Dealers Ltd.
支票及表格送交或郵寄至：
香港灣仔軒尼詩道 145 號安康商業大廈 1 字樓 102-103 室
證券商協會秘書處收
8. 所有申請將經由證券商協會委員會審核；
未有提名及和議人之申請，證券商協會委員會或按個別情況審議；
9. 成功申請者將經由 whatsapp 或 email 送發電子會員證；
10. 如通訊，工作等資料有變動，會員需立即以電郵形式通知會方，
以茲更新，會方有權重新審視該名會員之會員資格；
11. 查詢：證券商協會秘書處

電話：81035088

Email：hkisdsecretary@gmail.com

地址：香港灣仔軒尼詩道 145 號安康商業大廈 1 字樓 102-103 室



證券商協會有限公司

The Institute of Securities Dealers Ltd.

證券商協會 普通會員 / 特別會員 申請表格

會員編號(會方填寫):

中文姓名: _____ 英文姓名: _____ 身份證:

字母 + 3 數字

手提電話: _____ 個人電郵: _____

住宅地址(中文或英文): _____

個人證監註冊編號: _____ (普通會員) 公司證監註冊編號: _____ (普通會員)

公司名稱: _____ 職位: _____ (請在右下方貼上中英文名片)

成功申請將獲發電子會員證及會方資訊, 煩請以正楷清楚填寫住址, 電郵及手機號碼(whatsapp)

致: 證券商協會有限公司

本人現申請成為 貴會普通 / 特別會員,
本人願履行遵守 貴協會所定的會員
大綱細則; 本人聲明填寫之資料及所交
的文件正確無誤, 並簽署作實。

申請人簽署: _____

申請日期: _____

提議人簽署: _____

提議人姓名: _____

提議人會員編號: _____

和議人簽署: _____

和議人姓名: _____

和議人會員編號: _____

支票抬頭: 證券商協會有限公司

支票金額: 500 元正

銀行名稱: _____

支票號碼: _____

***** 會籍申請由協會委員會審核, 成功申請將獲發電子會員證; 除入會費外, 暫毋須年費, 惟本會保留修訂是項收費之權利。**

表格及支票煩請交到或寄回:

香港灣仔軒尼詩道 145 號

安康商業大廈 1 字樓 102-103 室

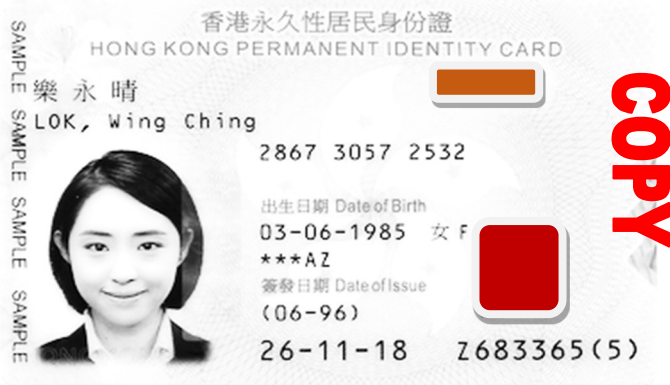
證券商協會秘書處

電話: 81035088

Email: hkisdsecretary@gmail.com

To: The Institute of Securities Dealers Ltd.

請貼上身份證副本(可掩蓋部份資料)



請貼上中英文名片

IN CONSIDERATION of your issuing to me a e-membership Card, I agree to comply with and observe the following terms and conditions with full responsibility of payment.

NAME: _____ SPECIMEN SIGNATURE: _____

DATE: _____

TERMS AND CONDITIONS

A Membership Card of the Institute of Securities Dealers Limited ("the Institute") is the property of the Institute and is issued subject to the following terms and conditions:-

(1) HK\$ 500 is charged by the Institute as an administration fee for membership card on every new member of the Institute.

(2) The Membership Card (hereinafter called "The Card") issued to the Holder (hereinafter called "The Holder") will be honoured only when properly presented by the Holder; and the Institute shall not be responsible if the card is not honoured for any reason whatsoever.

(3) The card is not transferable and is subject to withdrawal in case of infringement of this or any other terms and conditions. The original Holder remains entirely responsible for all charges incurred on any unlawfully transferred card by the unlawful holder thereof. In the event of loss or theft of the card, the Holder must immediately notify the Institute in writing and until such notification is received by the Institute, the Holder will remain responsible for all charges through the use of such card.

(4) All rights and privileges conferred upon the Holder by the card may be withdrawn by the Institute at any time at its absolute discretion and the Holder agrees to surrender the card upon request to the Institute.

(5) Card Holder must forthwith notify the Institute in writing on any changes of employment and of home/office address and the Institute reserves the right to cancel the card who defaults in so doing without prior notice to that card Holder.

(6) All sums debited to the Holder through the use of the card must be paid in cash unless credit sales are allowed by the Institute at its absolute discretion. In case credit sales are allowed, all sums debited to the Holder through the use of the card must be paid upon presentation of a monthly or an earlier statement by the Institute to the Holder. The settlement of the on account bills should be made at the address indicated in such statement. If the Holder shall fail to pay such sums within such time as abovementioned the Holder shall be responsible for the full costs and expenses incurred by the Institute for the collection of such sums and the Holder hereby agrees to fully indemnify the Institute against all such costs and expenses, including full legal expenses.

(7) The Holder of a supplementary Card is bound by and liable under these terms and conditions.

(8) The Institute is entitled to alter and amend these terms and conditions as it thinks fit in its absolute discretion and without prior notice. (END)