



**普通會員** 申請須知：

1. 申請人從事證券業；
2. 申請人須交回下列文件：
  - 2a. 已填好之普通會員申請表格；
  - 2b. 已簽署之有關發出會員證的細則及條款；
  - 2c. 申請人身份證副本；
  - 2d. 入會費用 HK\$500；
  - 2e. 支票抬頭：證券商協會有限公司
  - 2f. 支票送交或郵寄至：  
**香港灣仔軒尼詩道 145 號安康商業大廈 1 字樓 102-103 室**  
**證券商協會秘書處**
3. 會籍申請將經由委員會審核，本會將致函通知申請人批核之結果，成功申請者將獲發出會員證。

# 本會會員除入會費外，無須繳交年費，惟本協會保留權利修改是項規定。



# 證 券 商 協 會 有 限 公 司

## The Institute of Securities Dealers Ltd.

**普通會員** 申請表格 (申請人必需從事證券業務) 會員編號：

\*\*\* 會籍申請由委員會審核，成功申請將獲發會員證，不成功者會退回表格及支票

中文姓名：\_\_\_\_\_ 英文姓名：\_\_\_\_\_ 身份證號碼：\_\_\_\_\_

手提電話：\_\_\_\_\_ 住宅電話：\_\_\_\_\_ 個人電郵：\_\_\_\_\_

住宅中文地址：\_\_\_\_\_

住宅英文地址：\_\_\_\_\_

公司中文名稱：\_\_\_\_\_

公司英文名稱：\_\_\_\_\_

公司中文地址：\_\_\_\_\_

公司英文地址：\_\_\_\_\_

公司職位：\_\_\_\_\_ 證監會註冊號碼：\_\_\_\_\_

公司電話：\_\_\_\_\_ 公司傳真：\_\_\_\_\_ 公司電郵：\_\_\_\_\_

致：證券商協會有限公司

本人現申請加入成為 貴協會普通會員，本人願意履行和遵守 貴協會所定的大綱細則；  
本人聲明以上填寫之資料及所提交的文件正確無誤，並簽署作實。

申請人簽署：\_\_\_\_\_ 申請日期：\_\_\_\_\_

提議人簽署：\_\_\_\_\_ 提議人姓名：\_\_\_\_\_ 會員編號：\_\_\_\_\_

和議人簽署：\_\_\_\_\_ 和議人姓名：\_\_\_\_\_ 會員編號：\_\_\_\_\_

銀行名稱：\_\_\_\_\_

支票號碼：\_\_\_\_\_

支票金額：港幣 500 元正

請貼上  
身份證副本

支票抬頭：證券商協會有限公司  
表格及支票請寄回：  
香港灣仔軒尼詩道 145 號安康商業大廈 1 字樓 102-103 室  
證券商協會秘書處

電話：81035088，傳真：35441141  
Email：hkisdsecretary@gmail.com

請選擇郵寄地址：公司 / 住宅

To : The Institute of Securities Dealers Limited

IN CONSIDERATION of your issuing to me a Membership Card, I agree to comply with and observe the following terms and conditions with full responsibility of payment.

NAME: \_\_\_\_\_ SPECIMEN SIGNATURE: \_\_\_\_\_

**TERMS AND CONDITIONS (ORDINARY MEMBER)**

A Membership Card of the Institute of Securities Dealers Limited ("the Institute") is the property of the Institute and is issued subject to the following terms and conditions:-

- (1) HK\$ 500 is charged by the Institute as an administration fee for membership card on every new member of the Institute.
- (2) The Membership Card (hereinafter called "The Card") issued to the Holder (hereinafter called "The Holder") will be honoured only when properly signed and presented by the Holder; and the Institute shall not be responsible if the card is not honoured for any reason whatsoever.
- (3) The card is not transferable and is subject to withdrawal in case of infringement of this or any other terms and conditions. The original Holder remains entirely responsible for all charges incurred on any unlawfully transferred card by the unlawful holder thereof. In the event of loss or theft of the card, the Holder must immediately notify the Institute in writing and until such notification is received by the Institute, the Holder will remain responsible for all charges through the use of such card.
- (4) All rights and privileges conferred upon the Holder by the card may be withdrawn by the Institute at any time at its absolute discretion and the Holder agrees to surrender the card upon request to the Institute.
- (5) Card Holder must forthwith notify the Institute in writing on any changes of employment and of home/office address and the Institute reserves the right to cancel the card who defaults in so doing without prior notice to that card Holder.
- (6) All sums debited to the Holder through the use of the card must be paid in cash unless credit sales are allowed by the Institute at its absolute discretion. In case credit sales are allowed, all sums debited to the Holder through the use of the card must be paid upon presentation of a monthly or an earlier statement by the Institute to the Holder. The settlement of the on account bills should be made at the address indicated in such statement. If the Holder shall fail to pay such sums within such time as abovementioned the Holder shall be responsible for the full costs and expenses incurred by the Institute for the collection of such sums and the Holder hereby agrees to fully indemnify the Institute against all such costs and expenses, including full legal expenses.
- (7) The Holder of a supplementary Card is bound by and liable under these terms and conditions.
- (8) The Institute is entitled to alter and amend these terms and conditions as it thinks fit in its absolute discretion and without prior notice. (END)